Hopf Galois Scaffolds on Purely Inseparable Extensions

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Outline

- Introduction
- Some monogenic Hopf algebras (review)
- More duality computations
- Hopf Galois action, and a scaffold
- Integral Hopf Galois module structure
- Now what?

Let:

- L/K be an extension of (non archimedian) local fields of degree p^n , where p is the characteristic of the residue field of K.
- \mathfrak{O}_K be the valuation ring of K
- \mathfrak{O}_L be the valuation ring of L
- \mathfrak{P}_L be the maximal ideal of L.

Suppose L/K is Galois, group G.

Integral Galois module theory seeks to describe all fractional ideals of *L* in terms of the *G*-action.

For $h \in \mathbb{Z}$, let

$$\mathfrak{A}_h = \{ \alpha \in KG : \alpha(\mathfrak{P}_L^h) \subseteq \mathfrak{P}_L^h \}.$$

We can ask:

- Is \mathfrak{P}_{l}^{h} a free \mathfrak{A}_{h} -module?
- 2 In particular, is \mathfrak{O}_L a free $\mathfrak{A}:=\mathfrak{A}_0$ -module?



Now suppose $K = \mathbb{F}_q((T)), p \mid q$ and L/K is purely inseparable.

Then L/K is not Galois, <u>but</u> L/K is Hopf Galois for numerous K-Hopf algebras H.

For a fixed H, let

$$\mathfrak{A}_h = \{ \alpha \in H : \alpha(\mathfrak{P}_L^h) \subseteq \mathfrak{P}_L^h \}.$$

We will ask:

- Does L possess an H-scaffold?
- If so, does the scaffold allow us to determine integral Hopf Galois module structure?

We will see that the answers to both of these questions are "yes" for a certain class of Hopf algebras.

What is an H-scaffold?

It depends on who when you ask.

Write
$$L = K(x), x^{p^n} \in K, v_L(x) = -b < 0, p \nmid b$$
.

Let H be a Hopf algebra which makes L/K Hopf Galois. Let $\mathfrak{T} > 1$ be an integer. Suppose we have $\{\Psi_s : 0 \le s \le n-1\} \subset H$ such that

$$\Psi_{\mathcal{S}}(x^i) \equiv i_{\mathcal{S}} x^{i-p^{\mathcal{S}}} \bmod \mathfrak{P}_L^{\mathfrak{T}}$$

for
$$0 \le i \le p^n - 1$$
, where $i = i_0 + i_1 p + \cdots + i_{n-1} p^{n-1}$.

Then $\{\Psi_s\}$ gives rise to an H-scaffold of tolerance $\mathfrak T$ in the sense of [BCE].

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Fix n. Pick r such that $r < n \le 2r$, and pick $f \in K^{\times}$.

Define $H_{n,r,f} = K[t]/(t^{p^n})$ and

$$\Delta(t) = t \otimes 1 + 1 \otimes t + f \sum_{\ell=1}^{p-1} w_{\ell} t^{p^{r}\ell} \otimes t^{p^{r}(p-\ell)},$$

where $w_{\ell} = (\ell!(p - \ell)!)^{-1}$.

Then $H_{n,r,f}$ is a local Hopf algebra with local dual ("local-local").

Also, $H_{n,r,f} \cong H_{n,r',f'}$ iff r = r' and $f/f' \in (K^{\times})^{p^{r+1}-1}$.

The map $\alpha: L \to L \otimes H$ given by

$$\alpha(x) = x \otimes 1 + 1 \otimes t + f \sum_{\ell=1}^{p-1} w_{\ell} x^{p^{\ell} \ell} \otimes t^{p^{\ell}(p-\ell)}$$

gives L the structure of an $H_{n,r,f}$ -comodule and makes L into an $H_{n,r,f}$ -Galois object.

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Pink = Previous

$$\Delta(t) = t \otimes 1 + 1 \otimes t + f \sum_{\ell=1}^{p-1} w_{\ell} t^{p^{\ell} \ell} \otimes t^{p^{\ell}(p-\ell)}$$
$$\alpha(x) = x \otimes 1 + 1 \otimes t + f \sum_{\ell=1}^{p-1} w_{\ell} x^{p^{\ell} \ell} \otimes t^{p^{\ell}(p-\ell)}$$

To construct a scaffold, we require an action, not a coaction.

Let
$$H = H_{n,r,f}^*$$
.

We will describe enough of *H* and its action on *L* to construct a scaffold.

$$H = H_{n,r,f}^*$$

Recall *H* has *K*-basis $\{z_j : 0 \le j \le p^n - 1\}$ with

$$z_j(x^i) = \delta_{i,j}.$$

Multiplication in H comes from comultiplication in $H^* = H_{n,r,f}$. For example:

$$\begin{aligned} z_1^2(t^i) &= \mathsf{mult}(z_1 \otimes z_1) \Delta(t^i) \\ &= \mathsf{mult}(z_1 \otimes z_1) (t \otimes 1 + 1 \otimes t + f \sum_{\ell=1}^{p-1} w_\ell t^{p^r \ell} \otimes t^{p^r (p-\ell)})^i \end{aligned}$$

Clearly, $z_1^2(t^i) = 0$ for $i \neq 2$ and $z_1^2(t^2) = 2$. Thus, $z_1^2 = 2z_2$. More generally, $z_1^j = j! z_j$, $1 \le j \le p - 1$.

Even more generally, $z_p^j = j! z_{ip}$, $1 \le j \le p - 1$.

Also, for s < r:

$$\begin{split} z^p_{\rho^s}(t^i) &= \mathsf{mult}(z^{p-1}_{\rho^s} \otimes z_{\rho^s}) \Delta(t^i) \\ &= \mathsf{mult}((-1)z_{(p-1)p^s} \otimes z_{\rho^s})(t \otimes 1 + 1 \otimes t + f \sum_{\ell=1}^{p-1} w_\ell t^{p^r \ell} \otimes t^{p^r (p-\ell)})^i \\ &= 0 \end{split}$$

since it is impossible to get a nontrivial $t^{(p-1)p^s} \otimes t^{p^s}$ term.

Thus,
$$z_{n^s}^p = 0$$
.



More complicated for $s \ge r$.

For example,

$$z_{p^s}^{
ho}(t^{p^{s-r}}) = \mathsf{mult}(z_{p^s}^{
ho-1} \otimes z_{p^s}) \Delta(t^{p^{s-r}})$$

$$= \mathsf{mult}((p-1)! z_{(p-1)p^s} \otimes z_{p^s})(t \otimes 1 + 1 \otimes t + f \sum_{\ell=1}^{p-1} w_\ell t^{p^r \ell} \otimes t^{p^r (p-\ell)})^{p^{s-r}}$$

$$= \operatorname{mult}(-z_{(p-1)p^s} \otimes z_{p^s})(t^{p^{s-r}} \otimes 1 + 1 \otimes t^{p^{s-r}} + f^{p^{s-r}} \sum_{\ell=1}^{p-1} w_\ell t^{p^s\ell} \otimes t^{p^s(p-\ell)}),$$

and $t^{(p-1)p^s} \otimes t^{p^s}$ occurs when $\ell = p-1$.

Thus,

$$z_{p^s}^{p}(t^{p^{s-r}}) = \mathsf{mult}(-z_{(p-1)p^s} \otimes z_{p^s}) f^{p^{s-r}} w_{p-1} t^{(p-1)p^s} \otimes t^{p^s} = f^{p^{s-r}}.$$

Generally, if $z_{p^s}^p(t^i) \neq 0$ then

$$i_1 + p^r \ell' = (p-1)p^s$$

 $i_2 + p^r \ell'' = p^s$,

where

$$i = i_1 + i_2 + i_3$$
 $i_3 = i_{3,1} + i_{3,2} + \dots + i_{3,p-1}$
 $\ell' = i_{3,1} + 2i_{3,2} + \dots + (p-1)i_{3,p-1} \le (p-1)i_3$
 $\ell'' = (p-1)i_{3,1} + (p-2)i_{3,2} + \dots + i_{3,p-1} = pi_3 - \ell'$
 $(p-1)p^s = i_1 + p^r\ell'$
 $p^s = i_2 + p^r\ell''$.

$$\begin{array}{rcl} i_1+p^r\ell'&=&(p-1)p^s\\ i_2+p^r\ell''&=&p^s\end{array}\right\} \text{ Need to solve these.}\\ i&=i_1+i_2+i_3\\ i_3&=i_{3,1}+i_{3,2}+\cdots+i_{3,p-1}\\ \ell'&=i_{3,1}+2i_{3,2}+\cdots+(p-1)i_{3,p-1}\leq (p-1)i_3\\ \ell''&=(p-1)i_{3,1}+(p-2)i_{3,2}+\cdots+i_{3,p-1}=pi_3-\ell'\\ (p-1)p^s&=i_1+p^r\ell'\\ p^s&=i_2+p^r\ell'' \end{array}$$

- If $i < p^{s-r}$ then $z_{p^s}^p(t^i) = 0$.
- If $i = p^{s-r}$ then the solution to the system above is

$$\ell' = (p-1)p^{s-r}, \ell'' = p^{s-r}, i_1 = i_2 = 0, i_3 = p^{s-r+1}.$$

- If $p^{s-r} < i < p^{s-r+1}$ then there is no solution, i.e., $z_{ps}^p(t^i) = 0$.
- If $i \ge p^{s-r+1}$ there can be multiple solutions.

Example

Let p = 3, r = 2, s = 3, n = 4. It can be shown that $z_9^3 = fz_1$. But,

$$z_{27}^3(x^3) = 2f^3$$

 $z_{27}^3(x^{29}) = 2f^2$
 $z_{27}^3(x^i) = 0, i \neq 3, 29.$

Thus,

$$z_{27}^3 = 2f^3z_3 + 2f^2z_{29}$$
$$= 2f^3z_3 + 2f^2z_2z_{27}$$
$$= 2f^3z_3 + f^2z_1^2z_{27}.$$

H has K-basis:

$$\{z_1^{j_0}z_p^{j_1}\cdots z_{p^{n-1}}^{j_{n-1}}: 0\leq j\leq p-1\}.$$

Remarks:

- $\{z_1, \dots, z_{p^{n-1}}\}$ is not a minimal generating set for H as a K-algebra.
- $\{z_{p^{n-r}}, \dots z_{p^{n-1}}\}$ is a minimal generating set.
- The complete algebra structure for *H* is:

$$H = K[z_{p^{n-r}}, \dots z_{p^{n-1}}]/(z_{p^{n-r}}^p, \dots, z_{p^{r-1}}^p, z_{p^r}^{p^2}, \dots, z_{p^{n-1}}^{p^2})$$

• The coalgebra structure is, as usual,

$$\Delta(z_j) = \sum_{i=0}^j z_i \otimes z_{j-i}, 0 \leq j \leq p^n - 1,$$

which can be rewritten in terms of the generators.



H has K-basis:

$$\{z_1^{j_0}z_p^{j_1}\cdots z_{p^{n-1}}^{j_{n-1}}: 0 \leq j_s \leq p-1\}$$

This is the description of *H* which will be most useful going forward.

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$$L = K(x), x^{p^n} \in K, v_L(x) = -b < 0, p \nmid b.$$

 $\alpha : L \to L \otimes H$ is given by

$$\alpha(x) = x \otimes 1 + 1 \otimes t + f \sum_{\ell=1}^{p-1} \frac{1}{\ell!(p-\ell)!} x^{p^r \ell} \otimes t^{p^r(p-\ell)}.$$

The action of H on L is

$$z_j(x^i) = \operatorname{mult}(1 \otimes z_j)\alpha(x^i).$$

So, for example,

$$z_j(x) = \begin{cases} x & j = 0\\ 1 & j = 1\\ ((jp^{-r})!(p - jp^{-r})!)^{-1}fx^{p^{r+1} - j} & p^r \mid j \text{ and } j < p^{r+1}\\ 0 & \text{otherwise} \end{cases}$$



$$L = K(x), x^{p^n} \in K, v_L(x) = -b < 0, p \nmid b.$$

$$\alpha(x) = x \otimes 1 + 1 \otimes t + f \sum_{\ell=1}^{p-1} \frac{1}{\ell!(p-\ell)!} x^{p^r \ell} \otimes t^{p^r(p-\ell)}.$$
$$z_i(x^i) = \text{mult}(1 \otimes z_i) \alpha(x^i).$$

Let

$$i=\sum_{s=0}^{n-1}i_sp^s.$$

Then:

$$Z_{p^s}(x^i) = \left\{ \begin{array}{ccc} i_s x^{i-p^s} & 0 \leq s \leq r-1 \\ i_r x^{i-p^r} - if x^{p^r(p-1)+i-1} & s = r \\ \text{complicated} & s > r \end{array} \right..$$

$$Z_{p^s}(x^i) = \begin{cases} i_{\underline{s}} \underline{x^{i-p^s}} & 0 \le s \le r-1 \\ i_{r} x^{i-p^r} - i f x^{p^r(p-1)+i-1} & s = r \\ \text{complicated} & s > r \end{cases}$$

Example (p = 3, r = 2, s = 3, n = 4)

$$i = 35 = 2(1) + 2(3) + 1(27) \Rightarrow i_0 = 2, i_1 = 2, i_2 = 0, i_3 = 1.$$

This can be verified directly:

$$z_{27}(x^{35}) = x_{\sim}^8 + 2f^2x^{60} + f^3x^{86}.$$

In this "complicated" case, we see an $i_s x^{i-p^s}$ term.



$$z_{p^{s}}(x^{i}) = \begin{cases} i_{s}x^{i-p^{s}} & 0 \leq s \leq r-1 \\ i_{r}x^{i-p^{r}} - ifx^{p^{r}(p-1)+i-1} & s = r \\ \text{complicated} & s > r \end{cases}$$

• For s < r either $v_L(z_{D^s}(x')) = \infty$ or

$$v_L(z_{p^s}(x^i)) = v_L(i_s x^{i-p^s}) = -b(i-p^s) = v_L(x^i) + bp^s.$$

 $v_{l}(x) = -b.$

$$z_{p^s}(x^i) = \begin{cases} i_s x^{i-p^s} & 0 \le s \le r-1 \\ \hline i_r x^{i-p^r} - if x^{p^r(p-1)+i-1} & s = r \\ \hline \text{complicated} & s > r \end{cases}$$

2 Either $v_L(z_{p^r}(x^i)) = \infty$ or

$$\begin{aligned} v_{L}(z_{p^{r}}(x^{i})) &= v_{L}(i_{r}x^{i-p^{r}} - ifx^{p^{r}(p-1)+i-1}) \\ &\geq \min\{v_{L}(i_{r}x^{i-p^{r}}), v_{L}(fx^{p^{r}(p-1)+i-1})\} \\ &\geq \min\{-b(i-p^{r}), v_{L}(f) - b(p^{r}(p-1)+i-1)\}. \end{aligned}$$

If $v_L(f) > b(p^r(p-1)+i-1) - b(i-p^r) = b(p^{r+1}-1)$ then the minimum is $-b(i-p^r)$.

For such an f we have $v_L(z_{p^r}(x^i)) = v_L(x^i) + bp^r$.

We will assume $v_L(f) > b(p^{r+1} - 1) + 1$.

(This is nice and legal since $H_{n,r,f} = H_{n,r,T^{p^{r+1}-1}f}$.)

Nice and legal

Let
$$H = H_{n,r,f} = K[t]/(t^{p^n}), L = K(x), x^{p^n} \in K.$$

Let $u = T^{-1}t$. Then $H = K[u]/(u^{p^n})$ and
$$\Delta(u) = T^{-1}\Delta(t)$$

$$= T^{-1}t \otimes 1 + 1 \otimes T^{-1}t + T^{-1}f \sum_{\ell=1}^{p-1} t^{p^r\ell} \otimes t^{p^r(p-\ell)}$$

$$= u \otimes 1 + 1 \otimes u + T^{-1}f \sum_{\ell=1}^{p-1} (Tu)^{p^r\ell} \otimes (Tu)^{p^r(p-\ell)}$$

$$= u \otimes 1 + 1 \otimes u + T^{p^{r+1}-1}f \sum_{\ell=1}^{p-1} u^{p^r\ell} \otimes u^{p^r(p-\ell)}$$

So
$$H = H_{n,r,T^{p^r+1}-1f}$$
.

We'll study this $u = T^{-1}t$ substitution more later.

$$z_{p^s}(x^i) = \begin{cases} i_s x^{i-p^s} & 0 \le s \le r-1 \\ i_r x^{i-p^r} - if x^{p^r(p-1)+i-1} & s = r \\ \hline \text{complicated} & s > r \end{cases}$$

3 Messier, but it can be shown that, with no further restriction on f, either $v_I(z_{p^s}(x^i) = \infty$ or

$$v_L(z_{p^s}x^i) = v_L(i_sx^{i-p^s}) = -b(i-p^s) = v_L(x^i) + bp^s.$$

Theorem

Every element of the set

$$\{z_{p^s}: 0 \le s \le n-1\}$$

satisfies

$$z_{p^s}(x^i) \equiv i_s x^{i-p^s} \bmod \mathfrak{P}_L^{\nu_L(f)-b(p^{r+1}-1)},$$

and hence $\{z_{p^s}: 0 \le s \le n-1\}$ forms an H-scaffold of tolerance $v_L(f) - b(p^{r+1}-1) > 1$.

$$z_{p^s}(x^i) \equiv i_s x^{i-p^s} \bmod \mathfrak{P}_L^{\nu_L(f)-b(p^{r+1}-1)}$$

Let $\rho \in L$, $v_L(\rho) = b$.

For example, we could take $\rho = Tx^{p^n-1}$.

For $0 \le j \le n-1$, write $j = j_0 + j_1 p + \cdots + j_{n-1} p^{n-1}, 0 \le j_s \le p-1$.

Properties

- $v_L(z_{p^s}(\rho)) = b(1+p^s).$
- $v_L(z_1^{j_0}\cdots z_{p^{n-1}}^{j_{n-1}}(\rho))=b(1+j).$
- **3** $\{\prod_{s=0}^{n-1} z_{p^s}^{j_s}(\rho) : 0 \le j_s \le p-1\}$ is a *K*-basis for *L*.
- b is (the Hopf Galois analogue of) an integer certificate for this action.

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Recall $K = \mathbb{F}_q((T))$ and

$$\mathfrak{A}_h = \{ \alpha \in H : \alpha(\mathfrak{P}_L^h) \subseteq \mathfrak{P}_L^h \},\,$$

and we wish to know:

- is \mathfrak{P}_{I}^{h} a free \mathfrak{A}_{h} -module?
- 2 is \mathfrak{O}_L a free $\mathfrak{A}:=\mathfrak{A}_0$ -module?

We have a scaffold $\{z_{p^s}\}$ of tolerance $v_L(f) - b(p^{r+1} - 1)$.

If $v_L(f) - b(p^{r+1} - 1) > 2p^n - 1$, then the scaffold allows us to determine integral Hopf Galois module structure.

From now on, assume $v_L(f) > 2p^n - 1 + b(p^{r+1} - 1)$.

This is still nice and legal.

Still nice and legal

Let
$$H = H_{n,r,f} = K[t]/(t^{p^n}), L = K(x), x^{p^n} \in K$$
.

We have a scaffold of tolerance $\mathfrak{T} = v_L(f) - b(p^{r+1} - 1)$.

Let
$$u = T^{-1}t$$
. Then $H = H_{n,r,T^{p^{r+1}-1}t}$.

Let $y_{p^s} = Tz_{p^s}$. Then

$$y_{p^s}(x^i) \equiv i_s x^{i-p^s} \mod \mathfrak{P}_L^{\mathfrak{T}'},$$

 $\mathfrak{T}' = v_L(T^{p^{r+1}-1}f) - b(p^{r+1}-1)$
 $= p^n(p^{r+1}-1) + \mathfrak{T}.$

So we have scaffolds of arbitrarily high tolerance.

For each $0 \le j \le p^n - 1, j = \sum_{s=0}^n j_s p^s, 0 \le b - h \le p^n - 1$, let

$$d_h(j) = \left\lfloor \frac{bj + b - h}{p^n} \right\rfloor$$

$$w_h(j) = \min \{ d_h(i+j) - d_h(i) : 0 \le i \le p^n - 1, \ i_s + j_s \le p - 1 \text{ for all } s \}.$$

Then, by [BCE],

- ② \mathfrak{P}_{L}^{h} is a free \mathfrak{A}_{h} -module if and only if $w_{h}(j) = d_{h}(j)$ for all $0 \le j \le p^{n} 1$; if this equality holds then $\mathfrak{P}_{L}^{h} = \mathfrak{A}_{h} \cdot \rho$, $v_{L}(\rho) = b$.
- ③ \mathfrak{O}_K is a free \mathfrak{A} -module if the least nonnegative residue of b divides (p^m-1) for some 1 ≤ m ≤ n. If so, $\mathfrak{O}_L=\mathfrak{A}\cdot \rho$.
- If $w_h(j) \neq d_h(j)$, then \mathfrak{P}_L^h can be generated over \mathfrak{A}_h using ℓ generators, where $\ell = \{\#i : d_h(i) > d_h(i-j) + w_h(j) \text{ for all } 0 \leq j \leq p^{n-1} \text{ with } j_s \leq i_s \}$

An example. Let b = 1. Then $2 - p^n \le h \le 1$ and

$$d_h(j) = \left\lfloor \frac{j+1-h}{p^n} \right\rfloor = \left\{ \begin{array}{ll} 1 & j \ge p^n - 1 - h \\ 0 & j < p^n - 1 - h \end{array} \right.$$

Since

$$w_h(j) = \min \{d_h(i+j) - d_h(i) : 0 \le i \le p^n - 1, i_s + j_s \le p - 1 \text{ for all } s\},$$

we have $w_h(j) \leq d_h(j)$ for all j.

So
$$w_h(j) = d_h(j) = 0$$
 for all $j < p^n - 1 - h$.

It can be shown that $w_h(j) = 1$ for all other j if and only if $h > (1 - p^n)/2$, hence $\mathfrak{P}_l^h = \mathfrak{A}_h \cdot \rho$ iff $h > (1 - p^n)/2$.

So
$$\mathfrak{P}_L^h$$
 is free over \mathfrak{A}_h if and only if $h > (1 - p^n)/2$.

In particular, \mathfrak{O}_L is free over \mathfrak{A} .

This result can be adapted for general h.



What changes if we use a different Hopf algebra?

Almost nothing.

- ② \mathfrak{P}_{L}^{h} is a free \mathfrak{A}_{h} -module if and only if $w_{h}(j) = d_{h}(j)$ for all $0 \le j \le p^{n} 1$; if this equality holds then $\mathfrak{P}_{L}^{h} = \mathfrak{A}_{h} \cdot \rho$, $v_{L}(\rho) = b$.
- ③ \mathfrak{O}_K is a free \mathfrak{A} -module if the least nonnegative residue of b divides (p^m-1) for some $1 \le m \le n$. If so, $\mathfrak{O}_L = \mathfrak{A} \cdot \rho$.
- If $w_h(j) \neq d_h(j)$, then \mathfrak{P}_L^h can be generated over \mathfrak{A}_h using ℓ generators, where $\ell = \left\{ \#i : d_h(i) > d_h(i-j) + w_h(j) \text{ for all } 0 \leq j \leq p^{n-1} \text{ with } j_s \leq i_s \right\}$

The only changes are in the multiplication and action of the z_{p^s} 's.

Recall that $H = H_{n,r,f}$ can act on L in $p^{n-1}(p-1)$ ways.

What changes if we use a different action?

Again, almost nothing.

- \mathfrak{P}_{L}^{h} is a free \mathfrak{A}_{h} -module if and only if $w_{h}(j) = d_{h}(j)$ for all $0 \leq j \leq p^{n} 1$; if this equality holds then $\mathfrak{P}_{L}^{h} = \mathfrak{A}_{h} \cdot \rho$, $v_{L}(\rho) = b$.
- **3** \mathfrak{O}_K is a free \mathfrak{A} -module if the least nonnegative residue of *b* divides $(p^m 1)$ for some 1 ≤ $m \le n$. If so, $\mathfrak{O}_L = \mathfrak{A} \cdot \rho$.
- If $w_h(j) \neq d_h(j)$, then \mathfrak{P}_L^h can be generated over \mathfrak{A}_h using ℓ generators, where $\ell = \{\#i: d_h(i) > d_h(i-j) + w_h(j) \text{ for all } 0 \leq j \leq p^{n-1} \text{ with } j_s \leq i_s\}$

The only change is in the multiplication of the z_{p^s} 's.



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Things to think about:

• Do Hopf Galois scaffolds exist for the more general class $H_{n,r,f}^*$ (i.e., for $d \ge 1$)?

 From an integral Galois module theory perspective, is there any reason to pick one Hopf algebra over another, or one action over another? Thank you.

(Again.)